

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Razavi et al.

Serial Number:

10/691,810

Filing Date:

October 23, 2003

Title:

CATALYST COMPONENTS, PROCESS FOR THEIR PREPARATION AND THEIR USE AS CATALYST

COMPONENTS IN POLMERIZATION OF OLEFINS

Group Art Unit:

Unknown

Examiner:

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Mailing

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January 26, 2004
Date of Deposit

Sir:

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Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98 and §609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed on the attached Form PTO/SB/08. Copies of the listed references are submitted herewith.

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above patents and other publications and to question the relevance and materiality of the

Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

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William D. Jackson

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Date: January 2.6, 2004

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/691,810			
Filing Date	October 23, 2003			
First Named Inventor	Abbas Razavi			
Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	COS 957 (31223/00012			

				T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,404,344	09-13-1983	Sinn et al.	
		<sup>US-</sup> 4,767,735	08-30-1988	Ewen et al.	
		<sup>US-</sup> 5,155,080	10-13-1992	Elder et al.	
		<sup>US-</sup> 5,387,568	02-07-1995	Ewen et al.	,
		<sup>US-</sup> 5,807,939	09-15-1998	Elder et al.	
		<sup>US-</sup> 5,945,365	08-31-1999	Reddy	
		US- 6,150,482	11-21-2000	Brookhart et al.	
		<sup>US-</sup> 6,313,242 B1	11-06-2001	Reddy	
		<sup>US-</sup> 6,414,098 B1	07-02-2002	Engehausen et al.	
		<sup>US-</sup> 6,545,075 B2	04-08-2003	Nishihata et al.	
		US-			
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Cite No.1	Foreign Patent Document	IGN PATENT DOCU Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
	Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	•	Or Relevant Figures Appear	T
	PCT/GB98/02638	03-18-1999	BP Chemicals		
	EP1125928 A1	08-22-2001	Idemitsu Petro.		L
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Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1445/110				Application Number	10/391,810	
INF	ORMATION	DIS	CLOSURE	Filing Date	October 23, 2003	
(Use as many sheets as necessary)			PPLICANT	First Named Inventor	Abbas Razavi	
				Art Unit	Unknown	
			ecessary)	Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	COS 957 (31223/00012	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BROOKE L. SMALL, Tridentate Cobalt Catalysts for Linear Dimerization and Isomerization of Alpha-Olefins, Organometallics, 2003, pp. 3178-3183, 2003.	
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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